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ETHIC Intelligence

Decision of Award and Registration ISO 37001

Awarded to
EKVITA Consulting LLC

17 August 2017

Philippe MONTIGNY

President

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CERTIFYING EXCELLENCE IN ANTI-CORRUPTION COMPLIANCE

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ETHIC Intelligence, certification body, according to the ISO 17021.9 standard “requirements for certification bodies” has asked:

The ISO 37001 Technical Committee,

To complete the examination of the findings presented by the Lead Auditor Claire TETART the found during the ISO 37001 audit presented by Anar Karimov, Compliance Manager of EKVITA Consulting LLC.

ETHIC Intelligence,

- **Taking into account** the letter signed by EKVITA's CEO stating his intent to submit EKVITA's Anti-Bribery Management System to an ISO 37001 certification audit (Annex 1);
- **having taken note** of the audit report and findings presented by the Lead Auditor Ms Claire TETART;
- **noting** that there are no non-conformities within the Anti-Bribery Management System of EKVITA;
- **having concluded**, after the ISO 37001 Technical Committee review that the Anti-Bribery Management System is in compliance with the ISO 37001:2016 standard;
- **Supports** the overall evaluation reached by the Lead Auditor, namely that ETHIC Intelligence agrees on the ISO 37001:2016 certification of EKVITA;

AWARDS, on this day and for a duration of three years (3), **ISO 37001 Certification** to EKVITA () in virtue of having implemented an Anti-Bribery Management System which conforms to the standard.

Paris, 17 July 2017

President of ETHIC Intelligence

A handwritten signature in black ink, appearing to read "Ph. Montigny".

Philippe Montigny

ANNEX 1: LETTER OF INTENT



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Philippe Montigny
ETHIC Intelligence President
ETHIC Intelligence
10, rue Pergolèse
75116 Paris – France

23 May 2017
Baku, Azerbaijan

Dear Sir,

I undersigned, Ilgar Mehti, Director of Ekvita Consulting LLC, hereby confirm my intent to have Ekvita Consulting's Anti-Bribery Management System evaluated by ETHIC Intelligence accredited auditors in view of obtaining an ISO 37001 certification.

I wish that the following be examined in particular:

- The way our compliance program is designed with respect to international best practices;
- The implementation of this compliance program within Ekvita Consulting's operations with respect to international best practices.

I wish that the following be examined according to the requirements of the ISO 37001:2016, and in particular:

- The design of our anti-bribery management system;
- The implementation of this management system within Ekvita Consulting's operations.

For the purposes of this certification process, I request that Mr Ruslan Bayramov, Legal Manager at Ekvita Consulting:

- Provide auditors with all relevant data and information;
- Grant auditors access, in the best of terms, to all files they should wish to consult;
- Arrange meetings between the auditors and any Ekvita Consulting employees and persons working on Ekvita Consulting's account that the auditors wish to interview.

I acknowledge that I am obliged to review all information contained in the Certification File drafted by the auditor and that said Certification File will be transmitted to you only if the information it contains is complete and accurate.

Sincerely,

Ilgar Mehti
Director



ANNEX 2: AUDIT INFORMATION

The on-site audit began on June 19, 2017 at the head offices of EKVITA, in Baku, Azerbaijan. The audit was concluded on June 21, 2017.

The audit was based on documented information review as well as interviews with executives. Documents analyzed were either made available spontaneously by the interviewees or were requested by the Lead Auditor.

AUDIT OBJECTIVE

The audit objective was to determine if EKVITA has implemented an Anti-Bribery Management System that could prevent, detect and mitigate the risk of bribery.

AUDIT CRITERIA

The audit criteria were:

- The requirements of ISO 37001 standard
- Applicable laws
- EKVITA policies and procedures relative to the ISO 37001 Management System

AUDIT SCOPE

The audit scope covers EKVITA Consulting LLC, meaning the commercial entity with 25 full-time professionals. The scope of the activities audited cover the provision of legal, tax, accounting and management consultancy services.