



ETHIC Intelligence

Decision to Award and Register ISO 37001

Awarded to

GAZTRANSPORT & TECHNIGAZ (GTT)

CERTIFYING EXCELLENCE IN ANTI-CORRUPTION COMPLIANCE

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ETHIC Intelligence, a certification body, according to the ISO 17021 – 9 standard “requirements for certification bodies” has asked:

The ISO 37001 Technical Committee,

To complete the examination of the findings presented by the Lead Auditor, Hilaire Noubissi, during the ISO 37001 audit presented by Mirella Hermant, Ethics Officer, GTT.

ETHIC Intelligence,

- **Taking into account** the letter signed by Philippe Berterottiere, Chairman and CEO of GTT, stating his intent to submit GTT’s Anti-Bribery Management System to an ISO 37001 certification audit (Annex 1);
- **having taken note** of the audit report and findings presented by the Lead Auditor
- **having concluded**, after the ISO 37001 Technical Committee review that the Anti-Bribery Management System is in compliance with the ISO 37001:2016 standard;
- **Supports** the overall evaluation reached by the Lead Auditor, namely that ETHIC Intelligence agrees on the ISO 37001:2016 certification of GTT;

AWARDS, on this day and for a duration of three years (3), **ISO 37001 Certification** () in virtue of having implemented an Anti-Bribery Management System which conforms to the standard.

Paris, October 9, 2018

President of the ETHIC Intelligence Technical Committee

A handwritten signature in black ink, appearing to read "Ph. Montigny".

Philippe Montigny

ANNEX 1: LETTER OF INTENT



Mr. Philippe Montigny
President of the Certification Committee
ETHIC Intelligence
10 rue Pergolèse,
75116 Paris,
France

Saint-Rémy-lès-Chevreuse, October 8th 2018

Dear Sir,

I undersigned, Philippe Berterottière, Chairman and Chief Executive Officer of Gaztransport & Technigaz (GTT), hereby confirm my intent to have GTT's Anti-Bribery Management System evaluated by ETHIC Intelligence accredited auditors in view of obtaining an ISO 37001 certification.

For the purposes of this certification process, I request that Mr. Pierre Lecourtois and Mrs. Mirella Hermant:

- Provide auditors with all relevant data and information;
- Grant auditors and accreditation body assessors access to any files they seek to consult;
- Arrange meetings between the auditors and any GTT employees and persons working on GTT's account that the auditors seek to interview.

Should the auditor recommend the ISO certification, I acknowledge that all information contained in the Audit File drafted by the auditor will be reviewed by Mr. Pierre Lecourtois and Mrs. Mirella Hermant and that said Audit File will be transmitted to you, as President of the Certification Committee, only if the information it contains in the descriptive section (part II of the report) is complete and accurate.

Sincerely,



Philippe BERTEROTTIERE
Chairman and C.E.O.

ANNEX 2: AUDIT INFORMATION

The on-site audit began on September 10, 2018 at the head offices of GTT, in Saint-Remy-les-Chevreuse, France. The audit was concluded on September 12, 2018.

The audit was based on documented information review as well as interviews with executives. Documents analyzed were either made available spontaneously by the interviewees or were requested by the Lead Auditor. A sampling of sites was audited.

AUDIT OBJECTIVE

The audit objective was to determine if GTT has implemented an Anti-Bribery Management System that could prevent, detect and mitigate the risk of bribery.

AUDIT CRITERIA

The audit criteria were:

- The requirements of ISO 37001 standard
- Applicable laws
- GTT's policies and procedures relative to the ISO 37001 Management System

AUDIT SCOPE

The audit scope covers the activities of: Containment systems for the shipping and storage in cryogenic conditions of LNG (liquefied natural gas).